

TRANSMITTAL LETTER  
(General - Patent Pending)

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Docket No.  
15115/003001

In Re Application of Atsushi SHIMIZU

Serial No.  
09/885,296

Filing Date  
June 20, 2001

Examiner

Group Art Unit  
2161

Title: METHOD OF SETTING DIRECTION OF GROWING PERSONNEL, METHOD OF SELECTING SUPPLEMENTARY CAPABILITY, METHOD OF SELECTING SUPPLEMENTARY PERSONNEL, METHOD OF EVALUATING PERSONNEL, SYSTEM OF FORMING PERSONNEL FOSTERING PLAN, PERSONNEL...

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is:

Petition in response to Notice of Omitted Item(s) in a NonProvisional Application Filed Under 37 CFR 1.53(b) - 5 pg.  
Submission of Formal Drawing - 2 pgs.

in the above identified application.

- ☐ No additional fee is required.
- ☒ A check in the amount of \$130.00 is attached.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Signature

Jonathan P. Osha, Reg. No. 33,986  
ROSENTHAL & OSHA L.L.P.  
1221 McKinney, Suite 2800  
Houston, Texas 77010

Telephone: (713) 228-8600  
Facsimile: (713) 228-8778

Dated: 5/10/02

I certify that this document and fee is being deposited on 5-10-2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Toni Hill

Typed or Printed Name of Person Mailing Correspondence

CC:

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 UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/885,296	06/20/2001	Atsushi Shimizu	15115/003001

CONFIRMATION NO. 2665

## FORMALITIES LETTER



\*OC000000007644672\*

 22511  
 ROSENTHAL & OSHA L.L.P.  
 1221 MCKINNEY AVENUE  
 SUITE 2800  
 HOUSTON, TX 77010

Date Mailed: 03/15/2002

## NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) **44** described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

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*A copy of this notice **MUST** be returned with the reply.*

T. L. L.  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



COPY OF PAPERS  
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PATENT  
ATTORNEY DOCKET NO. 15115/003001  
U.S. PATENT APPLICATION NO. 09/885,296

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Atsushi SHIMIZU  
Serial No.: 09/885,296  
Filed: June 20, 2001  
Title: Method Of Setting Direction Of Growing Personnel, Method Of Selecting  
Supplementary Capability, Method Of Selecting Supplementary Personnel,  
Method Of Evaluating Personnel, System Of Forming Personnel Fostering Plan,  
Personnel Supplementing System, Business Achievement Assessing Systema  
Nd Information Transmitting Medium

Art Unit: 2161  
Examiner:

Assistant Commissioner for Patents  
Washington, DC 20231

Attention: Official Draftsman

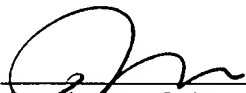
**SUBMISSION OF FORMAL DRAWINGS**

Please substitute the enclosed one (1) sheet of formal drawings (Figure 44) for the  
corresponding drawings presently in the application.

Please apply any charges or credits to our Deposit Account No. 50-0591, reference  
number 15115/003001.

Respectfully submitted,


Date: \_\_\_\_\_

  
Jonathan P. Osha  
Reg. No. 33,986

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1221 McKinney, Suite 2800  
Houston, TX 77010

Telephone: 713/228-8600  
Facsimile: 713/228-8778

Date of Deposit May 10, 2002  
I hereby certify under 37 CFR 1.8(a) that this  
correspondence is being deposited with the U.S. Postal  
Service as **first class mail** with sufficient postage on the  
date indicated above and is addressed to the Assistant  
Commissioner for Patents, Washington, D.C. 20231.

  
Toni Hill